THE RENSSELAER UNION

2015-2016 BUDGETING GUIDELINES AND PROCEDURES

These guidelines have been developed to help clubs in the entire budgeting process, and are only excerpts from the Rensselaer Union Budget Policy and Procedures. In reading and adhering to these guidelines, you agree to follow all policies, guidelines, and procedures as found in the Rensselaer Union Budget Policy and Procedures. It is important to note that the Union Executive Board may choose to deal with club(s) in a manner not specifically stated in these documents on a case-by-case basis.

From the Rensselaer Union Constitution: “The purpose of this Union shall be to unite all its members in a commitment to the ideals for which Rensselaer stands, to expand the extracurricular life at Rensselaer, to coordinate all student organizations, to act as a medium through which student opinion may be expressed, and to work with all other members of the Rensselaer community to encourage student initiative and to lead student action in all interests which will serve the welfare and betterment of Rensselaer.”

According to the Rensselaer Union Constitution and the Executive Board By-Laws, all budget guidelines are reviewed each year by the E-Board. This document shall be used in the course of budget preparation and approvals and the monitoring of the appropriated funds for all Union Funded Clubs. This document will go into effect for the Fiscal Year 2016 (July 2015 - June 2016) budget-related activities. It shall be used in the development and approval of all proposed and final budgets for FY ’16. Addendum(s) may be added to these policies with majority approval of the E-Board, and Union clubs and organizations will be notified immediately of such change(s).

SECTION I: STUDENT ACTIVITY RESOURCES TO HELP CLUBS AND ORGANIZATIONS

E-Board Representatives - The President of the Union assigns an E-Board Representative to each club. Each E-Board Representative represents several Union clubs. E-Board Representatives are available to help their clubs with issues relating to their budgets and programs, and are the official liaison of the club to the E-Board throughout the fiscal year including throughout the budgeting process. E-Board Representatives are simultaneously responsible to two constituencies: they have the responsibility of representing each of their clubs’ interests to the E-Board, while at the same time representing the interests of all the students in the Union.

Student Activities Resource Staff - Each club is assigned a specific ‘Student Activities Resource Person’ (SARP) who is knowledgeable about the issues relating to the club’s specific activities, and who serves as a resource provider for the club. Signatures of SARPs on documents indicate only that the issue in question is consistent with said policy and do not endorse a particular program unless specifically stated, although the signature of a SARP is required on Union proposals. Students are not authorized to sign binding agreements or contracts for their organizations or for Rensselaer.
SECTION II: FUNDED CLUBS AND THEIR PRIVILEGES AND OBLIGATIONS

The Executive Board is the budgeting group of the Union, and fulfills its obligation “to coordinate all student organizations” by categorizing all student clubs and organizations as they relate to the Rensselaer Union. A club is categorized as a Union Recognized Club when it meets the Criteria for Club Approval and it has a Union approved purpose and Constitution. A club is categorized as a Union Funded Club if it meets the above criteria, and additionally is approved for Union funding contingent on having a Union approved budget. Union club recognition comes with certain responsibilities and privileges established to hold clubs responsible to the activity fee paying student body.

A. MEMBERSHIP

According to Article II of the Rensselaer Union Constitution, only currently registered RPI students who have paid an activity fee shall constitute the membership of the Union. The participation of persons who are not RPI students may be valid if the person adds value to the club through their participation. The determination is initially that of the club and ultimately that of the E-Board. Those not affiliated with RPI as students or their spouse or legal dependent, faculty, staff, alumni, or students of Hudson-Mohawk Consortium schools currently enrolled in courses at Rensselaer may not comprise more than 5% of the membership of any Rensselaer Union club without specific approval of the E-Board. For more information, refer to individual club constitutions or the Starter Constitution and Policies for all definitions on membership affiliations.

B. LIABILITY AND PROGRAM APPROVAL

Rensselaer Polytechnic Institute may assume liability for all activities of the Institute. Rensselaer and the E-Board must determine in its view whether or not the scope of any proposed club or specific club program presents an abnormal risk to the students involved in the activity, to the Union as a whole, to the Institute or to the Rensselaer Community at large. It may need to consult recognized experts in determining the level of risk.

Because of its responsibility to the Institute, the Union policy mandates that all activities of Union Funded Clubs be approved in advance. Written approval must be obtained prior to any Union Funded Club activity, through either an approved budget or an E-Board Proposal for a new program, whether or not the activity is subsidized by the Union. This approval process also extends to any related activity that members of a club would like to do outside the club’s approved budget, so that even if the club does not receive funding for the activity the members will be covered in the event of an accident related to the activity. The Institute will not cover liability resulting from an unapproved program. Instead, the student members of the club responsible for the activity will be held personally liable.

C. CLUB OBLIGATIONS

All Rensselaer union funded clubs and organizations that operate using the Activity Fee must be held accountable to the student body. Clubs are expected to uphold their obligations by meeting the following requirements:

1. Club Registration - In order to retain campus recognition, all campus clubs register annually with the Rensselaer Union. Rensselaer Union funded clubs register during club budgeting in the fall semester. All other recognized clubs must register before the end of the spring semester.

2. Clubs must be represented at the activities fair.

3. Clubs must update officer list, first contact and membership list on Club Management System (CMS) at the end of each semester for the following semester.
4. Clubs must have a representative attend the club officer’s financial workshop. Additionally, if a club wants to use vans, a 21yr+ representative must attend the travel workshop.

5. Clubs must meet with their E-Board Representatives before submitting their final budget.

6. Clubs must submit a final budget for approval by the E-Board.

SECTION III: BUDGETING AND PROCEDURAL GUIDELINES

By November 30 each Union Funded Club must propose a budget for its activities for the next fiscal year by specifically stating what its expenses and income are for each integral part of their programs. Funds may be spent and/or collected only as provided for in the approved club budget and the Rensselaer Union Budgeting Guidelines and Procedures. Club budgets expire at the end of spring semester (May) of the approved budget year unless an exception is granted by the Union. Clubs and their officers are accountable for implementation of these policies and their budgets. The policies will be distributed to all officers who are authorized by the club to make expenditures. Upon being elected and by submitting an officers list, all officers agree to accept ownership of these policies and of the responsibility to implement them with respect to their budget.

Club budgets are an expense line under the Rensselaer Union Budget and depending on fiscal conditions can be adjusted at any time during the fiscal year. Each club is to use their budget subsidy as prescribed in the approved budget programs. No club is to exceed the approved budget. If the club’s account is at or near budget, it may be frozen by the E-Board to prevent further expenditure. A ‘Club Subsidy’ is defined as expenses minus income; the actual subsidy will change over the course of the year for each club relative to the income it raises and the expenses it incurs. Clubs should adjust their programming accordingly over the course of the year so that they don’t go over their original budgeted subsidy. In no event, except with prior E-Board approval, will the overall club subsidy be in excess of what is granted to the club through the annual budget approval process.

Approval for funding, or the fact that a club or organization has received funding in the past for any specific program, does not guarantee that a program will continue to receive Union funding. The Union will not subsidize any religious or political organization or group which claims affiliation with such an organization, nor will it fund any organization or event for a particular political or religious viewpoint.

A. BUDGETED INCOME, DUES, AND FUNDRAISING

The Union does not fully subsidize all program expenses. Unsubsidized expenses must have an accompanying income line in the budget to meet this difference. This income can be generated from dues, fees for services, fundraising, or personal contributions.

1. Clubs that are required or choose to collect dues must collect at least the dollar amount per person specified in their budget as approved by the E-Board.

2. Clubs that collect dues shall collect at least 50% more from members who do not pay an activity fee such as visiting scholars, students from other colleges, faculty and staff. This does not apply to legal dependents of activity-fee-paying persons.

3. Clubs authorized to charge fees for their services must adhere to rates set by the E-Board.

4. Clubs may fundraise to meet their program expenses. Any fundraising initiative requiring subsidy must be approved in advance, either through the budgeting process or by an E-Board
Proposal. To conduct fundraising, clubs must state why and how they intend to raise the money, and how much they expect to raise. Any associated costs from the fundraiser must be entirely covered by the income. Any remaining money raised can be donated or used towards other club programs. Approval is based on whether the fundraising is consistent with Rensselaer community standards, whether it violates any known local, state or national laws, and whether it is in the “spirit of Rensselaer.” Fundraising initiatives will be approved using the following guidelines.

a. Clubs may not solicit donations from parents or alumni without prior approval from their SARP.
b. Club may not use social media fundraising without prior approval of their SARP and following Institute Advancement guidelines.
c. If a club would like to conduct additional fundraising outside of their approved budget for the current fiscal year, they must submit a separate E-Board proposal.
d. All fundraised funds must be deposited into the club’s Rensselaer Union account.

It is an RPI policy that external bank accounts for club use are not permitted in the name of a club officer or the club itself. No club is to obtain a federal ID number of its own or use RPI’s federal ID number. Violation of this policy will be handled by the Dean of Students and RPI’s Vice President for Finance.

B. BUDGETED EXPENSES

Budgeted expenses are those expenses that are eligible for and have been approved for funding by the E-Board during budgeting. Prior to actually purchasing the items, new bids and quotes must be obtained as provided throughout this section. The Union will not subsidize (a) “personal tangible items” including, but not limited to, food, lodging, uniforms, t-shirts, and personal items; and (b) cases where the level of individual personal enrichment surpasses the benefits to those of the rest of the club or organization, or of the other members of the Union, except as provided for below. The E-Board has the ability to make exceptions for all budgeting policies mentioned below, provided substantial reasoning is given.

1. Food and Reception Funds

a. The amount of subsidy for receptions will not exceed $2.50† per activity-fee paying club member per semester or $500 total. Reception Funds may only be drawn from once per semester unless approved by the Executive Board.

b. To use Reception Funds for an event, the Executive Board must have given prior approval.

Other programs involving food in the budget must also include an appropriate income line to cover the cost of the food unless approved by the Executive Board.

c. No individual meals will be subsidized. Examples of this would be food over the course of a budgeted trip or an “away” competition in which the club participates unless approved by the Executive Board.

2. Lodging

For travel arrangements, all clubs are required to use Child Albany Travel, to follow Institute Guidelines on Travel, and students are required to create a Concur profile.
When a club is required to stay overnight for an event in which the members are competing or representing Rensselaer, the cost of lodging will be subsidized for each gender. The maximum price that the Union will subsidize for lodging is $125\textsuperscript{†} for rural trips and $175\textsuperscript{†} for urban trips per room per night. Clubs are expected to budget based on a maximum of 3 people per room. Students cannot share beds.

In cases where condos or suites supply more beds, one person per bed limited by occupancy rules.

3. Programs Involving Travel

The E-Board of the Union may subsidize travel for organized instruction, competition, entertainment and recreation, in the name of Rensselaer or in service to the Union, according to each club’s purpose and goals. Anything stated herein does not guarantee E-Board approval of a proposed program involving travel.

a. Whenever personal cars are the E-Board-approved method of transportation for a program, the Union will subsidize transportation upon submission of gas and toll receipts. In some cases transportation will be reimbursed at the rate of $.25\textsuperscript{†} per mile per vehicle. The Union can subsidize the cost to rent seven passenger vans or buses. The maximum price that the Union will subsidize for van rentals is $65\textsuperscript{†} for one day trips and $155\textsuperscript{†} for weekend trips.

b. The Union promotes other modes of transportation (besides personal car) that may be safer and more economical. Groups traveling distances should consider renting a van to avoid potential personal liability. If there are more than four people going on the trip, it is recommended they go by seven-passenger rental van. All drivers must take the RPI risk management defensive driving course before they can drive a van. If there are more than 30 people, it is recommended they travel by chartered bus. Students should realize that anytime a personal vehicle is used, in NYS, the insurance policy of the owner of the car is the primary policy for all accident/damage claims.

c. The Union must approve any club-sponsored trip in advance, and will set the appropriate subsidy level, if any, for that event(s).

d. For approved trips, each participating club member will pay an individual “trip fee” which, combined, will account for at least 60% of the total trip cost. Trip fees = Total trip expense (lodging, registration fees, gas & tolls, transportation, any other associated fees excluding meals) x 60% divided by the total number of club members traveling.

e. If a club has won the right to participate in a local, regional or national event(s) that is not in their budget, after approval of the program by the E-Board by proposal, the Union may subsidize up to 70% of the cost of all expenses associated with the event(s) excluding meals, but not to exceed $4000\textsuperscript{†}. In the event that both men’s and women’s teams qualify, then the total subsidy must be split equally between the two.

f. If a club is invited to compete or perform in an “Invitational” event(s) that is not in their budget, after approval of the program by the E-Board by proposal the Union may subsidize up to 40% of the cost of all expenses associated with the event(s), excluding meals, but not to
exceed $2000\textsuperscript{1}. In the event that both men’s and women’s teams qualify, then the total subsidy must be split equally between the two.

\textbf{g.} If there are direct expenses for travel, uniforms, or other expenses deemed necessary for a non-RPI individual’s participation, the expenses will not be subsidized by the student activity fee or other income budgeted by the E-Board.

\section{4. Uniforms/T-Shirts/Personal Items}

Clubs may choose to take advantage of the purchasing power of the Union to acquire uniforms, t-shirts and personal items related to their club’s activities, whether they are approved budget items or not. In any event, as approved budget items or not, the design of all uniforms, t-shirts and personal items must be consistent with Rensselaer Community standards and license agreements, must not violate any known local, state or national laws, and must be in the “spirit of Rensselaer.”

\textbf{a.} The Union may subsidize uniforms, t-shirts or other approved items that have a lifetime of less than 1 year or remain the property of the club/team.

\textbf{b.} The Union will not fund uniforms, t-shirts or personal items that become the property of the individual members of the team/club or are customer-tailored or purchased to individual specifications.

\textbf{c.} In most cases, clubs will be required to take advanced orders and collect funds up front before a payment will be processed on all t-shirts and memorabilia for club functions.

\textbf{d.} Prizes and trophies won in club-sponsored events hosted by Union clubs must be covered by entry-fees for said event.

\section{5. Referees/Coaches/Instructors}

\textbf{a.} Instructors/Coaches may be subsidized up to 50\% of the cost of the coach’s compensation that includes salary plus fringe. Club dues must offset 50\% of a coach’s salary plus fringe benefits.

\textbf{b.} Coaches should not be given salary or benefit increases in club budgeting. Any changes made to these items will be discussed by the E-Board during general budgeting.

\textbf{c.} Funds allocated for coaches, instructors, or referees cannot be transferred to other programs.

\textbf{d.} The Union will pay for officials necessary to conduct valid competition. Any student may be paid for his or her services in this capacity.

\section{6. Affiliations}

\textbf{a.} The Union will subsidize 100\% of the cost of organizational membership fees for clubs in national organizations or affiliations that the E-Board approves.
b. Any club that has national dues to an outside organization, such as those referred to in (a),
must have club membership dues that are accurately proportioned to offset the cost of
national dues.

c. Club members’ individual membership fees in applicable national organizations may be
subsidized at a rate of 40%. The remaining fees should be covered by club membership dues.

7. Club Services and Miscellaneous Expenses

a. Polytechnic Advertising - Polytechnic advertising is approved through the budgeting
   process by the E-Board, but is allocated as Poly Credits, which are equal to the cost of $20 to
   the club and represent an 1/8 of a page. The E-Board will evaluate each request and
determine how much space they will be able to approve for allocation. The use of Poly
   Credits is contingent on space availability in the Polytechnic. Allocations will not be made
   for announcing club meetings as Poly Credits should be used for special events.

b. UPAC Sound and Lights - Union Funded Clubs are not required to pay the rental fee on
equipment but are required to pay technician fees for the students who work the event at $10†
   per hour per student worker.

c. Public Safety - Some events may require Public Safety for crowd control and/or liability
   purposes. The Union’s staff evaluates each program to determine if their services will be
   required.

8. Club Materials

a. The Union will subsidize a maximum of 250† copies yearly for general club advertisement

b. All club belongings, including generic supplies or materials, must be documented and
   accounted for in club inventory.

9. Conflict of Interest

There is a “conflict of interest” policy of Rensselaer Polytechnic Institute’s Purchasing
Department that concerns the purchase of goods and services. Goods and services provided by
Rensselaer employees, students or independent contractors affiliated with the Rensselaer Union
shall not be considered a conflict of interest if they are in compliance with the Institute’s Policy.
The Business Administrator of the Rensselaer Union will monitor this. For more information on
policies see Rensselaer Purchasing Department policy #1.3.
† Numbers updated yearly, can be modified at any point prior to budgeting in December

### INCOME/EXPENSE CHART AND CODES USED IN BUDGETING

<table>
<thead>
<tr>
<th>Code</th>
<th>Description</th>
<th>Example</th>
</tr>
</thead>
<tbody>
<tr>
<td>001</td>
<td>Office Supplies</td>
<td>pens, paper, file folders, etc.</td>
</tr>
<tr>
<td>005</td>
<td>Uniforms</td>
<td>uniforms, t-shirts, etc.</td>
</tr>
<tr>
<td>006</td>
<td>Non-Capital Equipment</td>
<td>equipment purchases under $5000</td>
</tr>
<tr>
<td>007</td>
<td>Computer Software</td>
<td>software, upgrades, etc.</td>
</tr>
<tr>
<td>008</td>
<td>Computer Supplies</td>
<td>computer supplies other than software or repairs</td>
</tr>
<tr>
<td>009</td>
<td>Miscellaneous Supplies</td>
<td>forms of Media other than the Polytechnic</td>
</tr>
<tr>
<td>021</td>
<td>Advertising</td>
<td>mailing letters through USPS</td>
</tr>
<tr>
<td>022</td>
<td>Postage</td>
<td>on-campus food purchases through Sodexo</td>
</tr>
<tr>
<td>031</td>
<td>Food Service</td>
<td>copies made on Union copiers</td>
</tr>
<tr>
<td>038</td>
<td>Photocopies</td>
<td>cleaning uniforms, etc.</td>
</tr>
<tr>
<td>039</td>
<td>Laundry Services</td>
<td>printing or copies from an off-campus vendor</td>
</tr>
<tr>
<td>040</td>
<td>Printing</td>
<td>repair costs for broken equipment</td>
</tr>
<tr>
<td>047</td>
<td>Other Service Fees</td>
<td>coaches, referees, performers, etc.</td>
</tr>
<tr>
<td>089</td>
<td>Membership Fees</td>
<td>membership or registration fees</td>
</tr>
<tr>
<td>099</td>
<td>Awards and Prizes</td>
<td>purchasing awards and prizes for tournaments or contests</td>
</tr>
<tr>
<td>1051</td>
<td>Cell Phone</td>
<td>cell phone monthly service fees</td>
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<tr>
<td>111</td>
<td>Travel</td>
<td>gas, tolls, hotel, vehicle rental, food while traveling, etc.</td>
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<tr>
<td>114</td>
<td>Food Service</td>
<td>off-campus local food purchases</td>
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<tr>
<td>175</td>
<td>Capital Equipment</td>
<td>equipment purchases over $5000</td>
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<tr>
<td>176</td>
<td>Capital Computer Equipment</td>
<td>computer equipment purchases over $5000</td>
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<tr>
<td>212</td>
<td>Exempt Part-Time Salary</td>
<td>use for music directors</td>
</tr>
<tr>
<td>213</td>
<td>Supplemental Salary</td>
<td>use for additional payments to regular RPI employees</td>
</tr>
<tr>
<td>222</td>
<td>Part-Time Non-Exempt Salary</td>
<td>use for Athletic Department Staff overtime</td>
</tr>
<tr>
<td>224B</td>
<td>Overtime Pay</td>
<td>30.2% of salary</td>
</tr>
<tr>
<td>230</td>
<td>Benefits</td>
<td>use for transfers to reserve accounts or other departments</td>
</tr>
<tr>
<td>564</td>
<td>Transfers</td>
<td>use for UPAC Lights and Sound</td>
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<tr>
<td>557</td>
<td>Transfers</td>
<td>use for purchases of general books</td>
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<tr>
<td>564</td>
<td>Transfers</td>
<td>purchases of reference books</td>
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<tr>
<td>L11</td>
<td>General Books</td>
<td>use for magazine subscriptions</td>
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<td>Reference Books</td>
<td>used for advertising in the Polytechnic</td>
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<td>L39</td>
<td>Journal Replacements</td>
<td>use for monthly telephone fees on campus</td>
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<td>PC</td>
<td>Poly Credits</td>
<td>use for long distance charges</td>
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<td>T01</td>
<td>Telephones</td>
<td>use for local calls made</td>
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<td>T07</td>
<td>Telephones</td>
<td>use for travel related to championship tournaments</td>
</tr>
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<td>T12</td>
<td>Telephones</td>
<td>use for income from all your activities and trips fees</td>
</tr>
<tr>
<td>371</td>
<td>Membership Dues</td>
<td>use for membership dues paid by your club’s members</td>
</tr>
<tr>
<td>RU0</td>
<td>Income from Programs</td>
<td>use for membership dues paid by your club’s members</td>
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